

Att/ ERW 1762

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: ANDRE et al.

SERIAL NO.: 09/830,380

FILED: April 25, 2001

FOR: Thin Layer Hafnium Oxide and Deposit Process

EXAMINER: Dicus, Tamra

DOCKET: BREV 13186

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In connection with the above-entitled matter, Applicants wish to bring to the attention of the Patent Office additional art received in connection with an Office Action in a related divisional application, U.S. Serial No. 10/607,912. Attached is U.S. Patent Office Form PTO-1449 including copies of the prior art references not already of record, and a copy of the Office Action dated April 1, 2005. The claims in the present application are believed to be patentably distinguished over these references.

This Supplemental Information Disclosure Statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(A). No representation is made that the information thus disclosed in fact constitute prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56(A) relies on a materiality concept which depends on subjectivity.

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180.00 DP

The enclosed Supplemental Information Disclosure Statement is being submitted within three months of receipt of the Office Action in the divisional application, as certified in the attached Certification for Information Disclosure Statement. Form PTO-2038 authorizing credit card payment in the amount of \$180.00 to cover the fee under 37 CFR 1.97(d) is enclosed. In the event there are any fee deficiencies or additional fees payable, please charge them, or credit any overpayment, to our Deposit Account No. 08-1391.


Respectfully submitted,



Norman P. Soloway  
Attorney for Applicants  
Registration No. 24,315

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 8, 2005 at Tucson, Arizona.

By: 

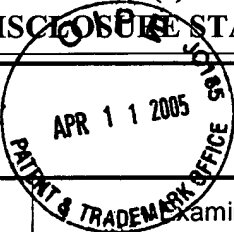
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STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

Docket No.  
BREV 13186

In Re Application Of: ANDRE et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/830,380	April 25, 2001	Wesley D. Markham	27667	1762	8153

Invention:

THIN LAYER OF HAFNIUM OXIDE AND DEPOSIT PROCESS

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a U.S. patent office in a counterpart U.S. application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

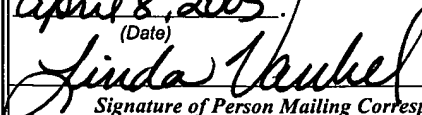
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Dated: April 8, 2005

Certificate of Mailing by First Class Mail

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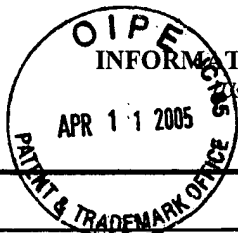
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Signature of Person Mailing Correspondence

Linda Vaubel

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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

BREV 13186

Application Number

09/830,380

Applicant(s)

ANDRE et al.

Filing Date

April 25, 2001

Group Art Unit

1774

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US-5,339,326	08-1994	Tsujimura et al.	372	49	
		US-5,494,743	02-1996	Woodard et al.	428	336	

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		JP 60-064326 A	04-1985	Japan (with Abstract)	359	270		✓

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.